UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lake, John M.

Serial No.: To Be Assigned

Filed: Concurrently Herewith

Title: Determining Software Complexity

: Group Art Unit:
: To Be Assigned
: IPLaw T81/B503
: IBM Corporation
: PO Box 12195
: RTP, NC 27709

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: _David R. Irvin_____
David R. Irvin, Agent
Reg. No. 42,682

DRI/ssc
Docket: RSW920040039US1
Phone: 919-543-7810 / Fax: 919-254-4330

List of Patents and Publications for
Applicant's Information Disclosure Statement

(Use several sheets if necessary)

ATTY. DOCKET NO.   SERIAL NO.
RSW920040039US1   **TO BE ASSIGNED**

APPLICANT: **Lake, John M.**

FILING DATE: **To be Assigned**

GROUP: **To Be Assigned**

Reference Designation   US PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
	AA	6,658,643	12/02/03	Bera	717/101	08/23/00
	AB	5,729,746	03/17/98	Leonard	395/701	10/23/95

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class/Subclass	Translation Yes No

OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

AC   Chaitin, G., "A Theory of Program Size Formally Identical to Information Theory", Journal of the ACM 22, pp. 329-340. 1975

AD   Campani, C., "Characterizing the Software Development Process: A New Approach Based on Kolmogorov Complexity", 02/02/04

Examiner   Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.